MAULI SYSTEMS, INC.

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Mauli Systems, Inc.



Data Quality, Management and Data Certification

- Data Quality, Accuracy and Management is such a broad and complex subject.
- There are many disparate systems and databases in today's enterprise.
- For variety of reasons there is no one enterprise system / application and a single database.

- Cost of Inaccurate data is substantial.
- Everyone starts out blaming IT for data issues.
- However, data is created by people outside of IT and is used by people outside IT.
- IT is responsible for the quality and accuracy of the systems that move the data and store it.

- Why Quality and Accuracy Data is important?
 - Accurate, Timely and Complete Data is necessary in today's enterprise.
 - Increase Trust on the systems/applications.
 - Business customer satisfaction.
 - Accurate Business Decisions.
 - Ensure Compliance.
 - Otherwise Garbage in Garbage out.

 There is no one tool or solution to resolve all the Data issues.

 Successful Data Quality initiative starts with understanding the issues, the sources, the targets and the usage of the data, the processes, the resources etc..

 Similar data is housed in multiple systems / databases, which also makes it a bigger challenge.

 Order / Invoice info including address and contact info

Order Entry and Invoicing

- Replicated info and maintained separately
- No link with customer info directly with the OE&I system

Accounts Receivable

- Replicated info and maintained separately
- No link with customer info directly with the OE&I or AR systems

Account Payable

Each system house their own business rules.

 Order / Invoice info including address and contact info

Order Entry and Invoicing

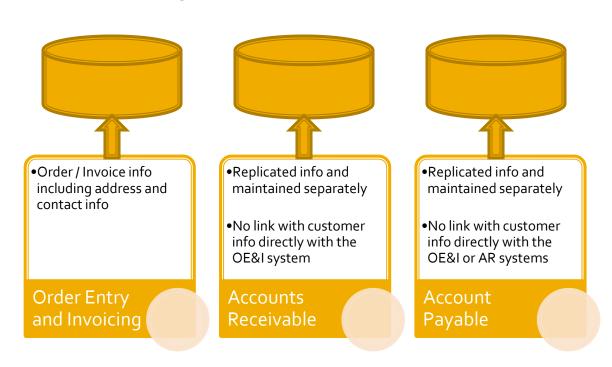
- Replicated info and maintained separately
- No link with customer info directly with the OE&I system

Accounts Receivable

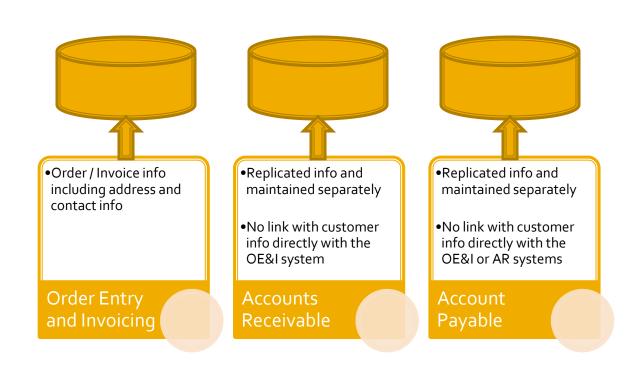
- Replicated info and maintained separately
- No link with customer info directly with the OE&I or AR systems

Account Payable

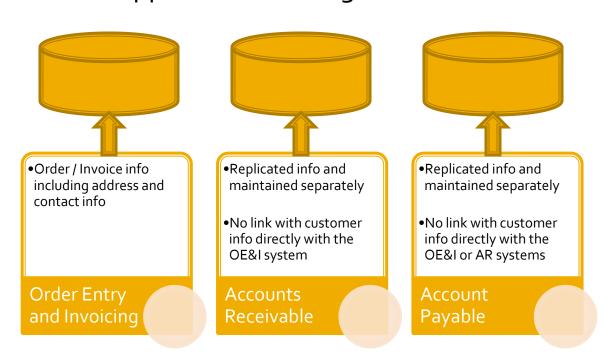
 Many times these rules are within application code or in the middle layer and not at the database level, so there is a back door open.



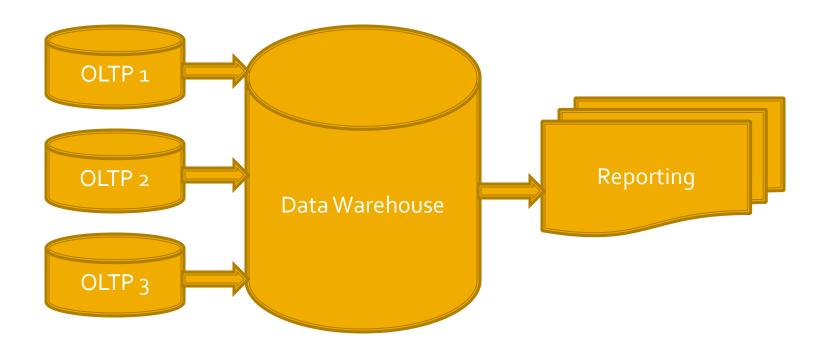
 Enterprise mergers require merging systems / data which also causes data issues.



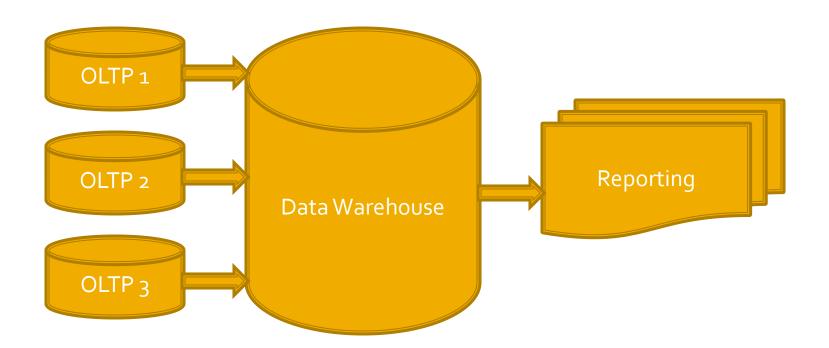
- Many times you do not have control on many of the third party proprietary applications.
- Even for custom built applications where you have very much control of validation logic, you might still need some validation done outside of application on a regular basis.



 Data movement from one system to another system and / or to data warehousing, on a regular basis might also cause data discrepancies.



- Redundant Processes are developed to load, enter and validate data.
- Validation/Business rules are embedded in each of these processes or often ignored.



- Data has to be validated in each system.
- Data has to be compared and balanced during each stage of data flow.
- Data flow should be stopped on "out of balance" condition, which are out of defined tolerance level.
- Discrepancies should be reported and appropriate actions should be taken.
- During the life cycle, accommodate changes of processes and business rules.

- Mauli Systems, Inc. has developed Data Certification System.
- Is an automated balancing system.
- Certify data between a source and a target.
- Source and Target could be two different databases, or applications, or disparate systems, just two tables/views within the same database, or External Data files.
- Typical usage is to certify the data during different stages of data flow.
- Allows enterprise to automatically capture and report discrepancies between a source and a target.

- Allows enterprise to stop the data flow, if there is any discrepancy.
- Has built in facility to define tolerance % at subject area level or tolerance value at the column level.
 - Typical use is to allow us to ignore rounding issues or known differences.
- Source and Target systems are compared using SQL queries.
- Queries can have five group by columns, ten summary columns and one count column.

- Has facility to define custom column names at Subject Area level, which are dynamically used in the reports.
- Queries are defined and compared at subject area level.
- Criteria is a group of related Subject Areas, and allows us to certify all in one execution.
- Different Criteria can be certified in parallel.
- Built in API is used to execute and certify a criteria.
- You can call API to certify a criteria within your data flow batch process or execute it from the front-end.
- Process and compare logs are stored in the database.
- Detailed discrepancy report s can be generated.

- One of our clients is using this software:
- To certify data flows in their Analysis & Reporting (A&R) environment.
- This includes data certification within their Daily, Weekly and Monthly batch cycles:
 - A&R Stage-in data with source OLTP systems.
 - A&R Historical ETL data with A&R Stage-in data.
 - Essbase Cubes data with A&R Stage-out data.

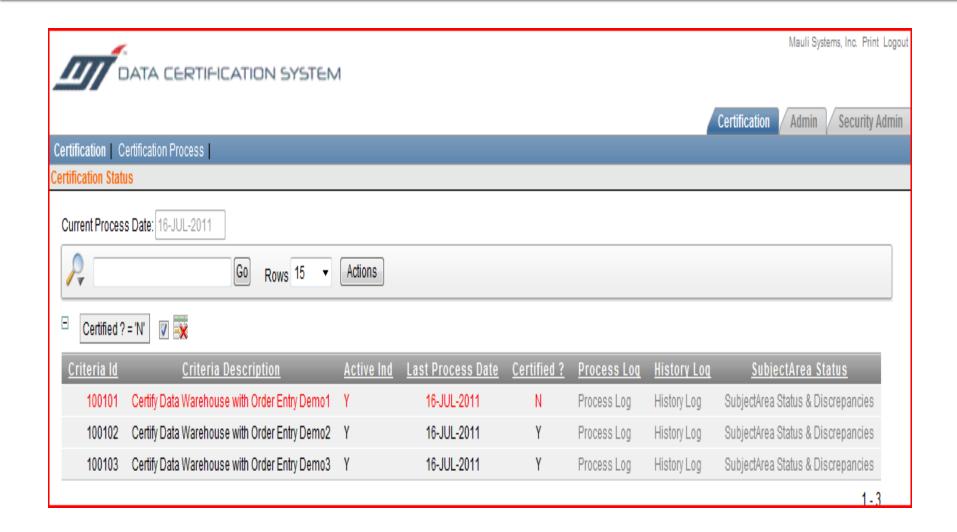
- During the daily cycle, they do not stop the data flow to avoid nightly support. Certification report is sent out in the morning to the support person.
- During monthly cycle, they stop the data flow, when there is any discrepancy, which is out side of the defined tolerances.
- Has captured many issues, stopped further data flow and avoided posting in-correct data to OLAP environment.
- They have defined 32 Criteria and 196 Subject Areas for A&R related data flows.

- They are also using this system to certify HFM (Hyperion Financial Module) related data flows
- This includes data certification of :
 - HFM (Hyperion Financial Module) Stage-in data with source systems such as AXSONE GL, Dynamics AX, PAM, Summit and few other OLTP systems
 - HFM Historical ETL data with HFM Stage-in data
 - HFM and AXSONE GL data with HFM Stage-out data.
- They stop the data flow, when there is any discrepancy, which is out side of the defined tolerances.
- This system has helped them to easily convince auditors on SOX compliance.
- There are 86 Criteria and 112 Subject Areas are defined in the system for HFM related data flows.

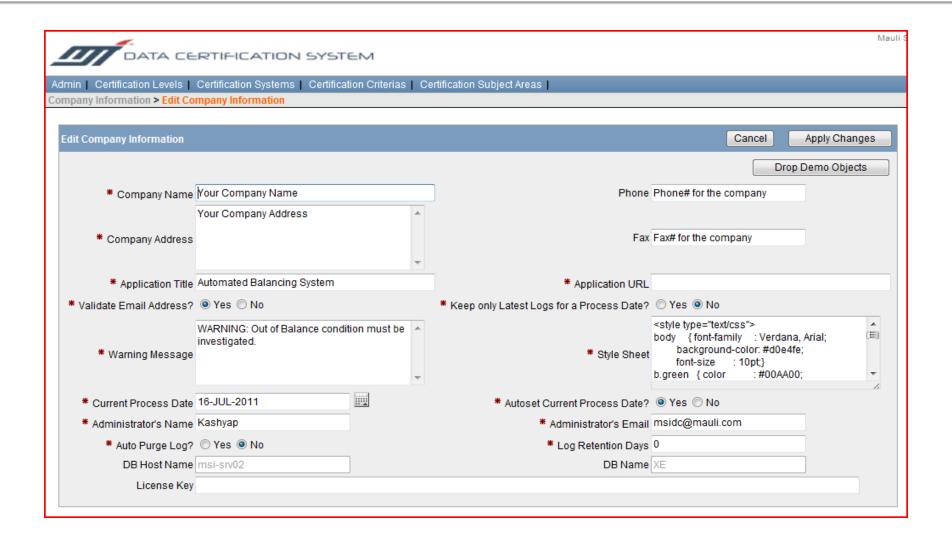
Data Certification - Logon

DATA CERTIFICATION SYSTEM
Welcome
Thank you for using MSI Data Certification System, developed by
This system is designed to help you certify data between source and target systems. Certification Processes can be automated within the batch system to certify your data during different processing/dataflow stages. In case of outage, Dataflows(batch processes) can be stopped and can send notification emails. Overall Tolerance% can be defined at Subject Area level or Tolerance value can be defined at each of the summary columns, with option of using Tolerance Value at each row level or at grand total level comparison. Your use of this software is subject to the following License Agreement. Please read it carefully before using this software.
License Agreement and Disclaimer ■
License Alert
Your are running trial version or with invalid license key, with limited functionalities. You can contact Mauli Systems, Inc. by emailing request to msidc@mauli.com
UserID
Password

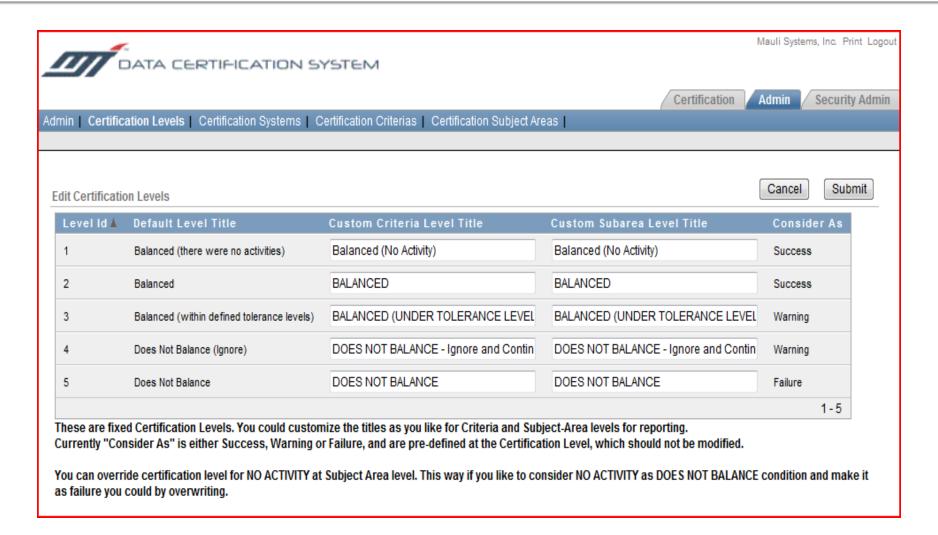
Data Certification – Certification Status



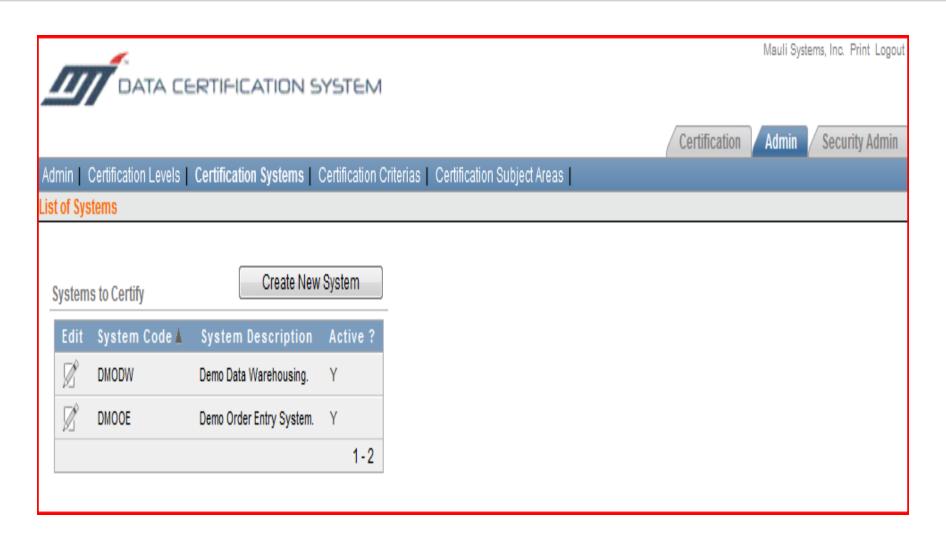
Data Certification – Company Info



Data Certification – Certification/Balancing Levels



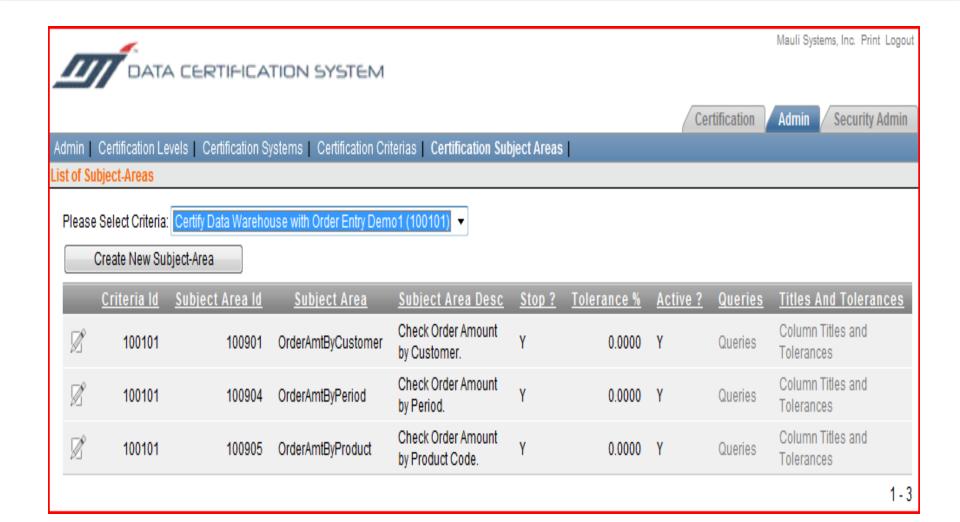
Data Certification – Source and Target Applications



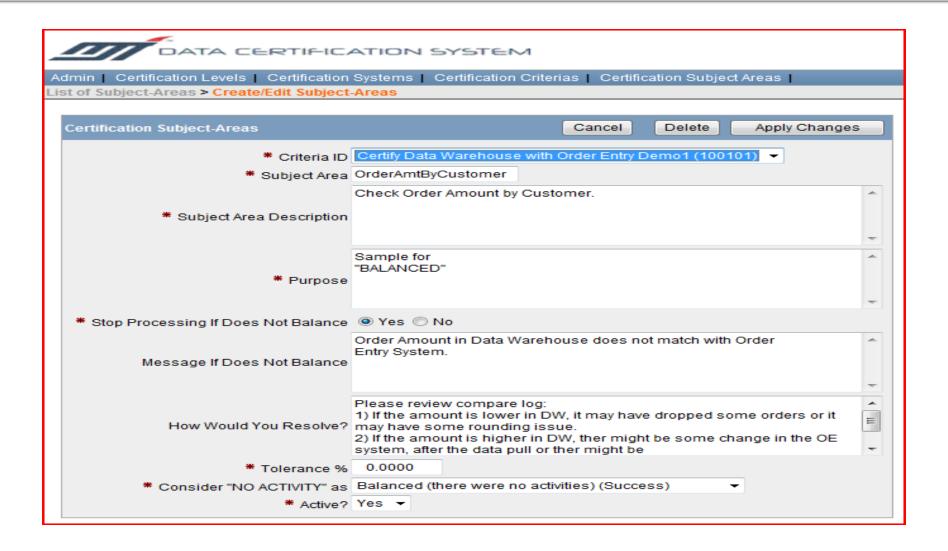
Data Certification – Criteria

DATA CERTIFICAT	ION SYSTEM
	tems Certification Criterias Certification Subject Areas
List of Certification Criterias > Create/Edit Certi	fication Criterias
Certification Criterias	Cancel Delete Apply Changes
Criteria # 100	101
* Criteria Ce	rtify Data Warehouse with Order Entry Demo1
* Target System to Certify De	mo Data Warehousing. (Y) ▼
* Source Systems to Certify with De	mo Order Entry System. (Y) ▼
	ta Warehouse values are not matching with the Order Entry System. s must be investigated immediately.
	ase review email with discrepancy summary / compare log. For ails review discrepancy report using Data Certification System.
Send Process Log to Email you	remail@yourdomain.com
Send Compare Log to Email you	remail@yourdomain.com
Send Compare Log Email On-Success?	Yes No
Send Compare Log Email On-Warning?	Yes No
Send Compare Log Email On-Failure?	Yes ⊚ No
* Active ? Ye	s 🔻

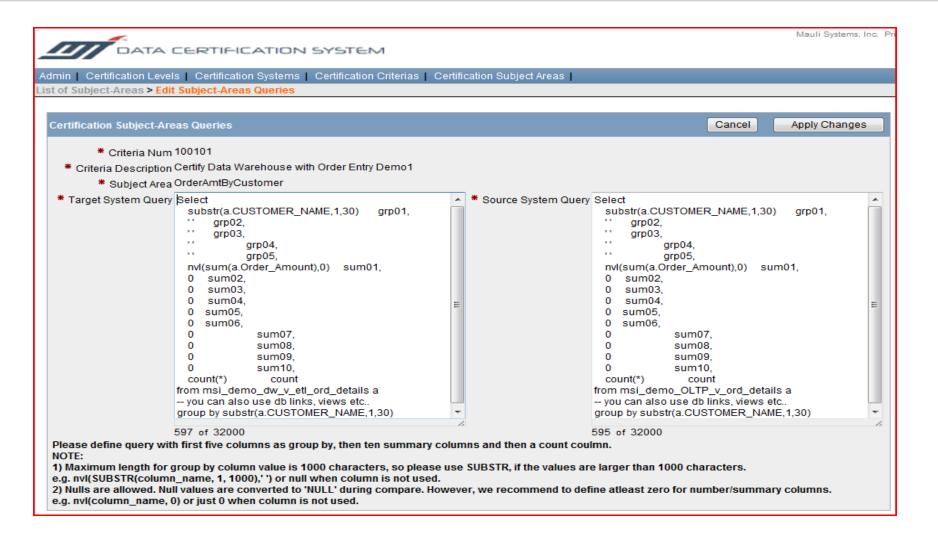
Data Certification – Subject Areas



Data Certification – Subject Area



Data Certification – Target and Source System Queries



Data Certification – Custom Column Level Titles and Tolerances

Tilli Certification Levels Certification Systems Certifi	ication Criterias Certification Subject Areas
of Subject-Areas > Edit Column Titles and Tolerances	
dit Titles and Tolerances	Cancel Apply Changes
* Criteria Num 100101	
Criteria Description Certify Data Warehouse with Ord	der Entry Demo1
* Subject Area OrderAmtByCustomer	
Group Column01 Title Customer Name	
Group Column02 Title	
Group Column03 Title	
Group Column04 Title	
Group Column05 Title	Is the Tolerance for Row Level? Yes No
Summary Column01 Title Order Amount	Summary Column01 Tolerance
Summary Column02 Title	Summary Column02 Tolerance
Summary Column03 Title	Summary Column03 Tolerance
Summary Column04 Title	Summary Column04 Tolerance
Summary Column05 Title	Summary Column05 Tolerance
Summary Column06 Title	Summary Column06 Tolerance
Summary Column07 Title	Summary Column07 Tolerance
Summary Column08 Title	Summary Column08 Tolerance
Summary Column09 Title	Summary Column09 Tolerance
Summary Column10 Title	Summary Column10 Tolerance
Count Title Count of Order Lines	Count Tolerance

Data Certification – Certify a Criteria



Certification | Certification Process |

Certification Process

Current Process Date: 16-JUL-2011

Please Select Criteria: Certify Data Warehouse with Order Entry Demo1 (100101) ▼

Status: DOES NOT BALANCE

Subject Areas

Subject Area ▲	Note	Latest Status	Active Ind
OrderAmtByCustomer	-	BALANCED	Υ
OrderAmtByPeriod	-	DOES NOT BALANCE	Υ
OrderAmtByProduct	_	DOES NOT BALANCE	Υ
			1-3

Certify Selected Criteria Process Logs

Information on how to call this process from batch system #

Data Certification – Process and Compare Log

DATA CERTIFICATION SYSTEM	ili System
Contification Process > Criteria Processina and Contification Los	
Certification Process > Criteria Processing and Certification Log	
Criteria Processing and Certification Log	cel
Process ID 2 Criteria ID 100101 Criteria Description Certify Data Warehouse with Order Entry Demo1 Process Date 16-JUL-2011	
B / }≡	_
Company Name : Your Company Name Data Certification Processing Log	=
Process Log Program execution started on 16-JUL-2011 at 22:16:03 Criteria: (100101) Certify Data Warehouse with Order Entry Demo1 Process Date: 16-JUL-2011 Processing Query1 for: OrderAmtByCustomer Subject Area at 22:16:03 Processing Query2 for: OrderAmtByCustomer Subject Area at 22:16:03	
Processing Query1 for: OrderAmtBvPeriod Subject Area at 22:16:03	li.
Company Name : Your Company Name Data Certification Compare Log Criteria : Certify Data Warehouse with Order Entry Demo1 for 16-JUL-2011 Subject Area : OrderAmtByCustomer Purpose : Sample for "BALANCED" Status : BALANCED	· III
Criteria Certified ? N	111

Data Certification – Process Log Email

Subject: MSIDC(XE) 2011-07-16 Process Log for Criteria (100101) Certify Data Warehouse with Order Entry Demo1

Company Name : Your Company Name Data Certification Processing Log

Program execution started on 16-JUL-2011 at 22:16:03

Criteria : (100101)	Certify Data Warehouse with Order Entry Demo1				
Process Date :	16-Jl	16-JUL-2011			
Processing Query1 for : OrderAmtByCustomer Subject Area at 22:16:			at 22:16:03		
Processing Query2 for :		OrderAmtByCustomer Subject Area	at 22:16:03		
Processing Query1 for :		OrderAmtByPeriod Subject Area	at 22:16:03		
Processing Query2 for :		OrderAmtByPeriod Subject Area	at 22:16:03		
Processing Query1 for :		OrderAmtByProduct Subject Area	at 22:16:03		
Processing Query2 for :		OrderAmtByProduct Subject Area	at 22:16:03		

Program execution completed on 16-JUL-2011 at 22:16:03

Data Certification – Compare Log Email

Subject: MSIDC(XE) 2011-07-16 Compare Log for Criteria (100101) Certify Data Warehouse with Order Entry Demo1

Company Name : Your Company Name Data Certification Compare Log

Criteria: Certify Data Warehouse with Order Entry Demo1 for 16-JUL-2011

Subject Area: OrderAmtByCustomer

Purpose: Sample for "BALANCED"

Status : BALANCED

Tolerance Value

Subject Area: OrderAmtByPeriod

Purpose: To make sure data warehosue is matching Order Amount with Order Entry System, immediately after refresh of Data Warehouse.

Status: DOES NOT BALANCE

Order Amount in Data Warehouse does not match with Order Entry System.

Discrepancies for OrderAmtByPeriod (Tolerance %:) Discrepancy Report

Discrepances for Order Arrichy Ferrod (Tolerance 70.) Discrepancy Report			
Column Title		Order Amount	
Total Value in DMODW		9551854	
Total Value in DMOOE		9604190.61	
Difference of		52336.61	
Tolerance Value			
Column Title Count of		of Order Lines	
Total Value in DMODW	2996	2996	
Total Value in DMOOE 3025			
Difference of 29			

Data Certification – Compare Log Email

Subject Area: OrderAmtByProduct

Purpose: To make sure data warehosue is matching Order Amount with Order Entry System, immediately after refresh of Data Warehouse.

Status: DOES NOT BALANCE

Order Amount in Data Warehouse does not match with Order Entry System.

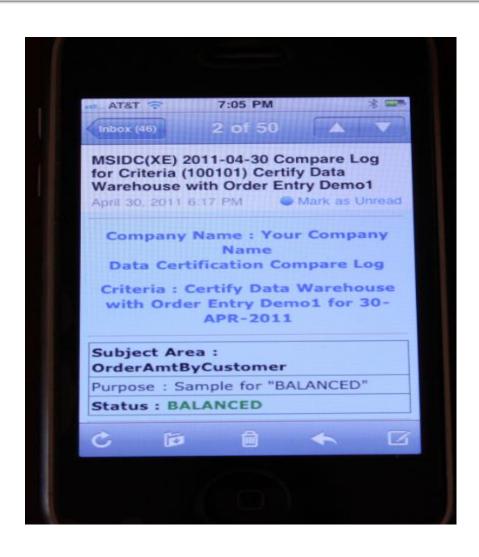
Discrepancies for OrderAmtByProduct (Tolerance % :) Discrepancy Report

Column Title	Order Amount
Total Value in DMODW	9551854
Total Value in DMOOE	9604190.61
Difference of	52336.61
Tolerance Value	

Certify Data Warehouse with Order Entry Demol: DMODW DOES NOT BALANCE with DMOOE, for the date 16-JUL-2011.

Data Warehouse values are not matching with the Order Entry System. This must be investigated immediately.

Data Certification – Compare Log Email on Smart Phone



Data Certification – Subject Area Status



Certification | Certification Process |

Certification Status > SubjectArea Status

SubjectArea Status

Cancel

Criteria #: 100101

Criteria Description: Certify Data Warehouse with Order Entry Demo1

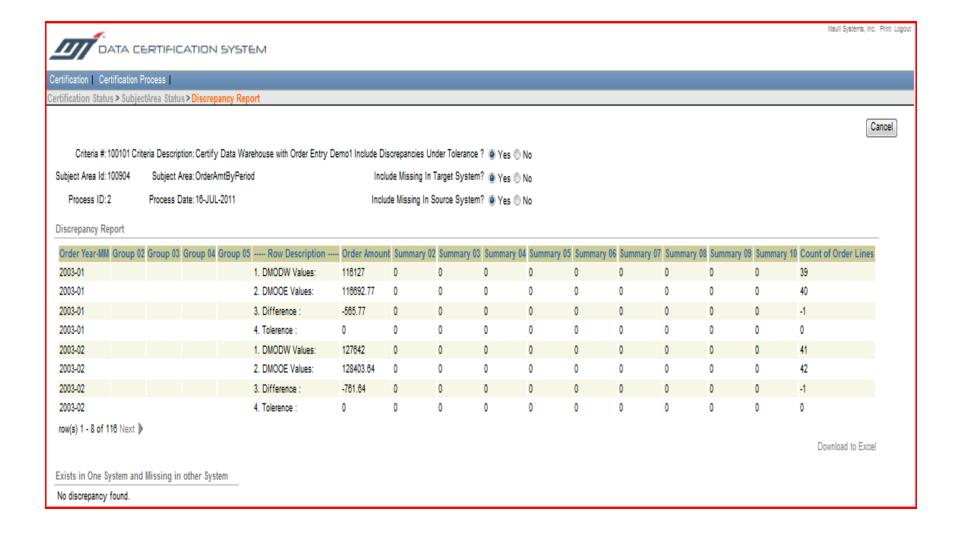
Process Date: 16-JUL-2011

Process ID: 2

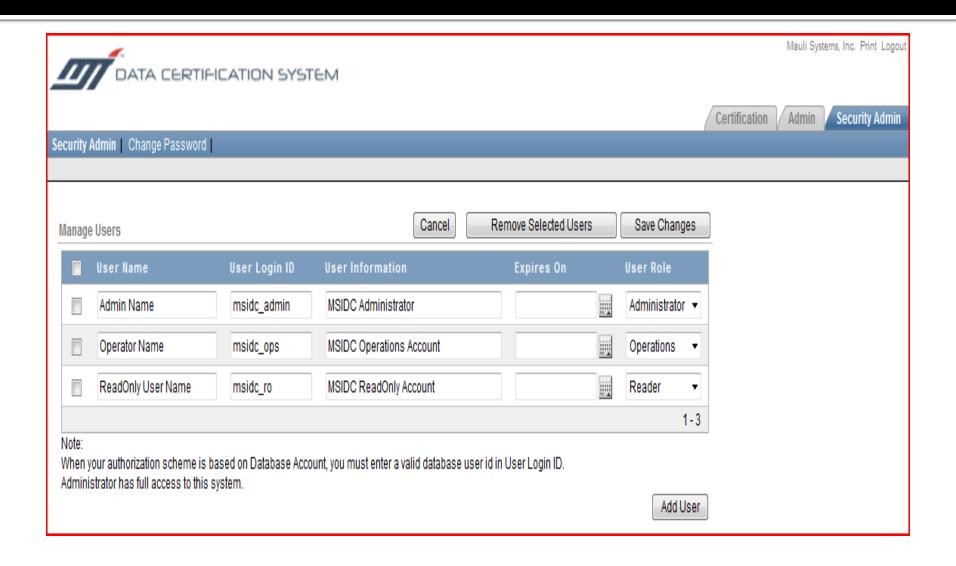
Target System to Certify: DMODW - Demo Data Warehousing. Source System to Certify With: DMOOE - Demo Order Entry System.

Subject Area Id ▲	Subject Area	Note	Certification Status	Certified ?	Descrepancies
100901	OrderAmtByCustomer		BALANCED	Υ	
100904	OrderAmtByPeriod		DOES NOT BALANCE	N	Discrepancies
100905	OrderAmtByProduct		DOES NOT BALANCE	N	Discrepancies
					1-3

Data Certification – Discrepancy Report



Data Certification - Autorization



FAQs:

- What is Hardware and Software requirements?
 - Data Certification Systems is built using Oracle Application Express and Oracle Back-end.
 - Oracle 10g and Higher on any platform.
 - If it is a simple environment then it can run on Oracle XE.

FAQs:

- Can this software be used to certify nonoracle data?
 - Yes.
 - Our client has used to source the data from SQL Server using Oracle Transparent Gateways.
 - You can source data in any of XLS, CSV or XML formats, utilizing either external table feature, XML feature in Oracle database or Linked Server feature in SQL Server.

FAQs:

- What is involved in configuring this system?
 - Once necessary Oracle software is installed, it is matter of importing this application.
 - We can provide you on-site/off-site consulting to implement this system and train your employees.
 - You will need one administrator with strong knowledge of SQL.

Summary

- There is no one low cost solution.
 - MDM can be used. We can build custom MDM solution.
 - Activity Monitoring, Data Issues Monitoring, Cross system monitoring and Notifications to the right people and at the right time is very important, where we recommend SSRS.
 - Data Certification during each stage of the data flow or cross system data certification. We recommend MSI Data Certification Software.
 - Certain situation might need a custom solution, where we can also provide low cost solution.

Summary

 We can visit you and discuss all these scenarios and solutions as well give you the demo of our Data Certification Software.

 For more information please contact <u>Info@mauli.com</u>.

A&D